

? show files;ds

File 350:Derwent WPIX 1963-2007/UD=200730  
 (c) 2007 The Thomson Corporation  
 File 344:Chinese Patents Abs Jan 1985-2006/Jan  
 (c) 2006 European Patent Office  
 File 347:JAPIO Dec 1976-2006/Dec(Updated 070403)  
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 File 371:French Patents 1961-2002/BOPI 200209  
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 File 2:INSPEC 1898-2007/May w1  
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 File 35:Dissertation Abs Online 1861-2007/Apr  
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 File 65:Inside Conferences 1993-2007/May 18  
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 File 99:Wilson Appl. Sci & Tech Abs 1983-2007/Apr  
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 File 256:TecInfoSource 82-2007/Jun  
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 File 474:New York Times Abs 1969-2007/May 18  
 (c) 2007 The New York Times  
 File 475:Wall Street Journal Abs 1973-2007/May 18  
 (c) 2007 The New York Times  
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
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 File 23:CSA Technology Research Database 1963-2007/May  
 (c) 2007 CSA.  
 File 56:Computer and Information Systems Abstracts 1966-2007/May  
 (c) 2007 CSA.

Set	Items	Description
S1	2253951	WEIGHT? OR COEFFICIENT OR (ESTIMAT? OR SIMULAT? OR RELATIV- E)(2N)VALUE? ?
S2	221434	PORTFOLIO? OR 401K OR 401()K OR INVESTMENTS OR PORT()FOLIO? OR HOLDINGS
S3	56902	(FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG- EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)()(RECOM- MENDATION? ? OR PLAN OR ANALYSIS OR PLANS OR PLANNING OR STRA- TEGY)
S4	145409	(PERCENT? OR FRACTION? OR PER()CENT OR PORTION OR VOLUME OR AMOUNT)(6N)(AVAILAB? OR FREE OR ACCESSIB? OR UNRESTRICTED? OR USABLE OR SURPLUS OR FIXED OR ON()CALL)
S5	1336	S4(6N)(INVEST? OR REINVEST? OR TRADING OR RE()INVEST? OR E- XCHANG? OR TRANSACTION? ?)
S6	8	PORTFOLIO()TURNOVER? ?(10N)(REMAINDER OR LEFTOVER OR "NOT"- ( ) (INVESTED OR TRADED) OR "NO"()TRANSACTION OR AVAILABILITY OR AVAILABLE OR REPLACEMENT OR RATE OR PERCENT OR DIFFERENCE OR DIFFERENTIAL? ? OR REPLACED)
S7	438712	HEDGE OR HEDGING OR RISK? ?
S8	191797	(FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG- EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)(3N)(ADV- ISOR? ? OR ADVISING OR ADVICE OR RECOMMENDATION? ? OR PLAN OR ANALYSIS OR PLANS OR PLANNING OR STRATEGY)
S9	0	S1 AND S2 AND (S3 OR S8) AND S5
S10	225	S1 AND S2 AND (S3 OR S8)
S11	0	S1 AND S2 AND S5
S12	97	S5 AND S1
S13	0	S12 AND (S3 OR S8)
S14	468	S1(6N)S2
S15	2182	S1 AND S2
S16	225	S15 AND (S3 OR S8)
S17	105	S6 OR S12
S18	21	S17 FROM 350,344,347,371
S19	84	S17 NOT S18
S20	74	RD (unique items)

? t20/7/56,55,54,53,52,51,46,42

20/7/56 (Item 3 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2007 The New York Times. All rts. reserv.

05762881  
MUTUAL FUNDS  
CLEMENTS, JONATHAN  
Wall Street Journal, Col. 3, Pg. 1, Sec. C  
Thursday May 17 1990

ABSTRACT:  
Article discusses wisdom of investing in mutual funds with low  
portfolio turnover rate ; chart (M)

20/7/55 (Item 2 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2007 The New York Times. All rts. reserv.

08214516 NYT Sequence Number: 000000040930  
AHEAD OF THE TAPE  
MCDONALD, IAN  
Wall Street Journal, Col. 1, Pg. 1, Sec. C  
Thursday September 30 2004

ABSTRACT:  
Day-to-day volatility of the stock market is down compared to 2003, but  
enough stock mutual-fund managers are keeping the average diversified US  
stock-fund's portfolio turnover rate at more than 100%, according to  
Morningstar Inc and Lipper Inc; graph (M)

20/7/54 (Item 1 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2007 The New York Times. All rts. reserv.

08243034 NYT Sequence Number: 000000050801  
FINDING VALUE BY STANDING PAT  
MCDONALD, IAN (BYLINER)  
Wall Street Journal, Col. 1, Pg. 1, Sec. R  
Monday August 1 2005

ABSTRACT:  
Article in monthly review of mutual funds presents an interview with  
Christopher Davis, chairman of Davis Advisors and manager of the \$28  
billion Davis New York Venture Fund; while the average US stock mutual fund  
has an 89% portfolio turnover ratio, Davis's Venture Fund has a  
turnover rate in the single digits; the fund has averaged a 12% annual  
return over the past decade; drawing (L)

20/7/53 (Item 3 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2007 The New York Times. All rts. reserv.

00717889 NYT Sequence Number: 078725760828  
(Fidelity Group's Contrafund portfolio mgr Leo H Dworsky practices  
philosophy of buying stocks that are out of favor or undervalued. Does  
not use common market strategy of visiting co before purchasing stock,  
instead relies on publicly available information and monitoring of  
market. Contrafund shows high portfolio turnover , averaging over 100%  
(M).)  
VARTAN, VARTANIG G

? show files;ds  
 File 15:ABI/Inform(R) 1971-2007/May 18  
      (c) 2007 ProQuest Info&Learning  
 File 16:Gale Group PROMT(R) 1990-2007/May 17  
      (c) 2007 The Gale Group  
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 File 160:Gale Group PROMT(R) 1972-1989  
      (c) 1999 The Gale Group  
 File 275:Gale Group Computer DB(TM) 1983-2007/May 16  
      (c) 2007 The Gale Group  
 File 621:Gale Group New Prod.Annou.(R) 1985-2007/May 17  
      (c) 2007 The Gale Group  
 File 9:Business & Industry(R) Jul/1994-2007/May 16  
      (c) 2007 The Gale Group  
 File 20:Dialog Global Reporter 1997-2007/May 18  
      (c) 2007 Dialog  
 File 476:Financial Times Fulltext 1982-2007/May 19  
      (c) 2007 Financial Times Ltd  
 File 610:Business wire 1999-2007/May 18  
      (c) 2007 Business wire.  
 File 613:PR Newswire 1999-2007/May 18  
      (c) 2007 PR Newswire Association Inc  
 File 24:CSA Life Sciences Abstracts 1966-2007/May  
      (c) 2007 CSA.  
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      (c) 2007 San Jose Mercury News  
 File 636:Gale Group Newsletter DB(TM) 1987-2007/May 16  
      (c) 2007 The Gale Group  
 File 810:Business wire 1986-1999/Feb 28  
      (c) 1999 Business wire  
 File 813:PR Newswire 1987-1999/Apr 30  
      (c) 1999 PR Newswire Association Inc  
 File 13:BAMP 2007/May w1  
      (c) 2007 The Gale Group  
 File 75:TGG Management Contents(R) 86-2007/May w1  
      (c) 2007 The Gale Group  
 File 95:TEME-Technology & Management 1989-2007/May w2  
      (c) 2007 FIZ TECHNIK  
 File 348:EUROPEAN PATENTS 1978-2007/ 200718  
      (c) 2007 European Patent Office  
 File 349:PCT FULLTEXT 1979-2007/UB=20070510UT=20070504  
      (c) 2007 WIPO/Thomson

Set	Items	Description
S1	4220225	WEIGHT? OR COEFFICIENT OR (ESTIMAT? OR SIMULAT? OR RELATIV- E)(2N)VALUE? ?
S2	9289701	PORTFOLIO? OR 401K OR 401()K OR INVESTMENTS OR PORT()FOLIO? OR HOLDINGS
S3	1428002	(FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG- EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)()(RECOM- MENDATION? ? OR PLAN OR ANALYSIS OR PLANS OR PLANNING OR STRA- TEGY)
S4	910290	(PERCENT? OR FRACTION? OR PER()CENT OR PORTION OR VOLUME OR AMOUNT)(6N)(AVAILAB? OR FREE OR ACCESSIB? OR UNRESTRICTED? OR USABLE OR SURPLUS OR FIXED OR ON()CALL)
S5	38876	S4(6N)(INVEST? OR REINVEST? OR TRADING OR RE()INVEST? OR E- XCHANG? OR TRANSACTION? ?)
S6	750	PORTFOLIO()TURNOVER? ?(10N)(REMAINDER OR LEFTOVER OR "NOT"- ())(INVESTED OR TRADED) OR "NO"()TRANSACTION OR AVAILABILITY OR AVAILABLE OR REPLACEMENT OR RATE OR PERCENT OR DIFFERENCE OR DIFFERENTIAL? ? OR REPLACED)
S7	11033033	HEDGE OR HEDGING OR RISK? ?
S8	4500948	(FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG- EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)(3N)(ADV-

Ginger R. DeMille

ISOR? ? OR ADVISING OR ADVICE OR RECOMMENDATION? ? OR PLAN OR  
ANALYSIS OR PLANS OR PLANNING OR STRATEGY)

S9 162375 S1(S)S2  
S10 102 S9(3S)(S3 OR S8)(3S)S5  
S11 8 S10 FROM 348,349  
S12 35 S10 NOT PY>2002  
S13 25 RD (unique items)  
? t11/3,k/all; t13/3,k/all

11/3,K/1 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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01381150 \*\*Image available\*\*

SYSTEM & METHOD FOR THE CREATION OF A GLOBAL SECURE COMPUTERIZED ELECTRONIC  
MARKET-MAKING EXCHANGE FOR CURRENCY YIELDS ARBITRAGE  
SYSTEME ET PROCEDE DESTINES A CREER UN SYSTEME DE CHANGE ELECTRONIQUE  
INFORMATISE SECURISE MONDIAL POUR TENUE DE MARCHE DESTINE A L'ARBITRAGE  
DE RENDEMENTS DE DEVISES

Patent Applicant/Inventor:

DE LA MOTTE Alain L, 2460 S.E. Larkspur Ct., Hillsboro, OR 97123, US, US  
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Legal Representative:

COOPER David P (agent), Kolisch Hartwell, P.C., 520 S.W. Yamhill Street,  
Suite 200, Portland, OR 97204, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200663086 A2 20060615 (WO 0663086)

Application: WO 2005US44327 20051208 (PCT/WO US2005044327)

Priority Application: US 2004634897 20041208

Designated States:

(All protection types applied unless otherwise stated - for applications  
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KN KP KR  
KZ LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG  
PH PL PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC  
VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL  
PL PT RO SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext word Count: 24353

Fulltext Availability:

Claims

Claim

... 0.1538% for a one week cycle = 8% -- 52). (c) The bid must contain a  
fixed amount to be invested or loaned at the closing.  
(d) The bid may contain certain restrictions (e.g. validity...

...to become a dominant market maker for a particular local or regional  
market, class of financial products, or investment strategy  
executable via the exchange. It should be noted that a market-making node  
user may...

...regular TUS since all NTUS will be automatically linked and indexed on a  
TU unit value. To simulate the excitement and dynamic interaction  
found on a "live" exchange (e.g. a stock exchange...

11/3,K/2 (Item 2 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT  
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01341122 \*\*Image available\*\*

ARRANGEMENTS FOR DERIVING FINANCIAL BENEFITS FROM EQUITY OWNED IN PROPERTY  
FORMULES PERMETTANT DE E TIRER DES AVANTAGES FINANCIERS DUNE PROPRIETE  
IMMOBILIERE

Patent Applicant/Assignee:

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Patent Applicant/Inventor:

INNES Ian Rossel Caple, 1/92 Muston Street, Mosman, NSW 2088, AU, AU  
(Residence), AU (Nationality), (Designated only for: US)

Legal Representative:

SPRUSON & FERGUSON (agent), GPO Box 3898, Sydney, NSW 2001, AU

Patent and Priority Information (Country, Number, Date):

Patent: WO 200621041 A1 20060302 (WO 0621041)

Application: WO 2005AU1274 20050824 (PCT/WO AU2005001274)

Priority Application: AU 2004904830 20040824; AU 2004906176 20041026; AU  
2004907385 20041231; AU 2005903656 20050708

Designated States:

(All) protection types applied unless otherwise stated - for applications  
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KP KR KZ  
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL  
PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU  
ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL  
PL PT RO SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext word Count: 26510

Fulltext Availability:

Detailed Description

Detailed Description

... Applicability

It is apparent from the above that the arrangements described are  
applicable to the financial investment and planning industries.

The foregoing describes only some embodiments of the present invention,  
and modifications and/or...

11/3,K/3 (Item 3 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT  
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01197286

REPLICATED DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING  
EXCHANGE THEREFOR

PRODUITS DERIVES REPLIQUES A RENDEMENTS AJUSTABLES, BASES SUR LA DEMANDE,  
ET ECHANGES COMMERCIAUX ASSOCIES

Patent Applicant/Assignee:

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US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

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(Residence), US (Nationality), (Designated only for: US)

BARON Kenneth Charles, 51 West 86th Street, Apt. #602, New York, NY 10024

? show files;ds  
 File 625:American Banker Publications 1981-2007/May 15  
       (c) 2007 American Banker  
 File 267:Finance & Banking Newsletters 2007/May 14  
       (c) 2007 Dialog  
 File 139:EconLit 1969-2007/May  
       (c) 2007 American Economic Association  
 File 626:Bond Buyer Full Text 1981-2007/May 16  
       (c) 2007 Bond Buyer

Set	Items	Description
S1	29047	WEIGHT? OR COEFFICIENT OR (ESTIMAT? OR SIMULAT? OR RELATIV- E)(2N)VALUE? ?
S2	163868	PORTFOLIO? OR 401K OR 401()K OR INVESTMENTS OR PORT()FOLIO? OR HOLDINGS
S3	23956	(FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG- EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)()(RECOM- MENDATION? ? OR PLAN OR ANALYSIS OR PLANS OR PLANNING OR STRA- TEGY)
S4	8158	(PERCENT? OR FRACTION? OR PER()CENT OR PORTION OR VOLUME OR AMOUNT)(6N)(AVAILAB? OR FREE OR ACCESSIB? OR UNRESTRICTED? OR USABLE OR SURPLUS OR FIXED OR ON()CALL)
S5	613	S4(6N)(INVEST? OR REINVEST? OR TRADING OR RE()INVEST? OR E- XCHANG? OR TRANSACTION? ?)
S6	23	PORTFOLIO()TURNOVER? ?(10N)(REMAINDER OR LEFTOVER OR "NOT"- ())(INVESTED OR TRADED) OR "NO"()TRANSACTION OR AVAILABILITY OR AVAILABLE OR REPLACEMENT OR RATE OR PERCENT OR DIFFERENCE OR DIFFERENTIAL? ? OR REPLACED)
S7	131147	HEDGE OR HEDGING OR RISK? ?
S8	73960	(FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG- EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)(3N)(ADV- ISOR? ? OR ADVISING OR ADVICE OR RECOMMENDATION? ? OR PLAN OR ANALYSIS OR PLANS OR PLANNING OR STRATEGY)
S9	4134	S1(S)S2
S10	8	S9(3S)(S3 OR S8)(3S)S5
? s	s9(3s)s5	
	4134	S9
	613	S5
s11	25	S9(3S)s5
? s	s6 or s10 or s11	
	23	S6
	8	S10
	25	S11
s12	48	S6 OR S10 OR S11
? rd		

>>>Duplicate detection is not supported for File 625.

>>>Duplicate detection is not supported for File 626.

>>>Records from unsupported files will be retained in the RD set.  
 S13 48 RD (unique items)

? show files;ds  
 File 625:American Banker Publications 1981-2007/May 15  
       (c) 2007 American Banker  
 File 267:Finance & Banking Newsletters 2007/May 14  
       (c) 2007 Dialog  
 File 139:EconLit 1969-2007/May  
       (c) 2007 American Economic Association  
 File 626:Bond Buyer Full Text 1981-2007/May 16  
       (c) 2007 Bond Buyer

Set	Items	Description
S1	29047	WEIGHT? OR COEFFICIENT OR (ESTIMAT? OR SIMULAT? OR RELATIV- E)(2N)VALUE? ?

S2 163868 PORTFOLIO? OR 401K OR 401()K OR INVESTMENTS OR PORT()FOLIO?  
OR HOLDINGS  
S3 23956 (FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG-  
EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)()(RECOM-  
MENDATION? ? OR PLAN OR ANALYSIS OR PLANS OR PLANNING OR STRA-  
TEGY)  
S4 8158 (PERCENT? OR FRACTION? OR PER()CENT OR PORTION OR VOLUME OR  
AMOUNT)(6N)(AVAILAB? OR FREE OR ACCESSIB? OR UNRESTRICTED? OR  
USABLE OR SURPLUS OR FIXED OR ON()CALL)  
S5 613 S4(6N)(INVEST? OR REINVEST? OR TRADING OR RE()INVEST? OR E-  
XCHANG? OR TRANSACTION? ?)  
S6 23 PORTFOLIO()TURNOVER? ?(10N)(REMAINDER OR LEFTOVER OR "NOT"-  
()(INVESTED OR TRADED) OR "NO"()TRANSACTION OR AVAILABILITY OR  
AVAILABLE OR REPLACEMENT OR RATE OR PERCENT OR DIFFERENCE OR  
DIFFERENTIAL? ? OR REPLACED)  
S7 131147 HEDGE OR HEDGING OR RISK? ?  
S8 73960 (FINANCIAL OR INVESTMENT OR RETIREMENT OR PENSION OR MANAG-  
EMENT OR TRADE OR TRADING OR PURCHASE OR BUY OR SELL)(3N)(ADV-  
ISOR? ? OR ADVISING OR ADVICE OR RECOMMENDATION? ? OR PLAN OR  
ANALYSIS OR PLANS OR PLANNING OR STRATEGY)  
S9 4134 S1(S)S2  
S10 8 S9(3S)(S3 OR S8)(3S)S5  
S11 25 S9(3S)S5  
S12 48 S6 OR S10 OR S11  
S13 48 RD (unique items)  
? t13/3,k/all

13/3,k/1 (Item 1 from file: 625)  
DIALOG(R)File 625:American Banker Publications  
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0193884  
Investor Profile: Brent Jones/GE Investments  
Asset Sales Report - January 27, 1997; Pg. 1; Vol. 11, No. 4  
DOCUMENT TYPE: Newsletter LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 634

TEXT:  
...GE Investments has looked  
to commercial mortgages, which Jones said are currently offering  
slightly better relative value.  
Recreational vehicle paper and deals backed by home equity and  
home improvement loans make up a large portion of GE Investments'  
fixed-rate ABS holdings while credit cards dominate the enhanced cash  
strategies.  
Almost all of GE...

13/3,k/2 (Item 2 from file: 625)  
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0066989  
United Services Fund Returns High Yield with Cushion Bonds  
The Guarantor - October 18, 1993; Pg. 3; Vol. 1, No. 39  
DOCUMENT TYPE: Newsletter LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 696

TEXT:  
...the scarcity of cushion bonds, the United Services fund manager  
says he has a higher portfolio turnover rate than most.  
well trade the fund on cash flows. If money comes in I buy...

13/3,k/3 (Item 1 from file: 267)